# lecture 2: representation deep learning for vision

Yannis Avrithis

Inria Rennes-Bretagne Atlantique

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### outline

introduction receptive fields visual descriptors embeddings



# introduction

# image retrieval challenges



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# image retrieval challenges



- scale
- viewpoint
- occlusion
- clutter
- lighting

distinctiveness

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distractors

# image classification challenges



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# image classification challenges



- scale
- viewpoint
- occlusion
- clutter
- lighting

- number of instances
- texture/color
- pose
- deformability
- intra-class variability

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# receptive fields

# topographic mapping: translation equivariance



- as you move along the retina, the corresponding points in the cortex trace a continuous path
- each column represents a two-dimensional array of cells
- a translation in the input causes a translation in the representation

# receptive fields

[Hubel and Wiesel 1962]



- A: 'on'-center LGN; B: 'off'-center LGN; C, D: simple cortical
- ×: excitatory ('on'), △: inhibitory ('off') responses
- localized responses, orientation selectivity

Hubel and Wiesel. JP 1962. Receptive Fields, Binocular Interaction and Functional Architecture in the Cat's Visual Cortex.

# linearity



- simple cells perform linear spatial summation over their receptive fields
- spatial response (by oriented bars of varying position)
- frequency response (by oriented gratings of varying frequency)

Movshon, Thompson and Tolhurst. JP 1978. Spatial Summation in the Receptive Fields of Simple Cells in the Cat's Striate Cortex.

# linear time-invariant (LTI) systems

- discrete-time signal: x[n],  $n \in \mathbb{Z}$
- translation (or shift, or delay):  $s_k(x)[n] = x[n-k]$ ,  $k \in \mathbb{Z}$
- linear system (or filter): system commutes with linear combination

$$f\left(\sum_{i}a_{i}x_{i}\right) = \sum_{i}a_{i}f(x_{i})$$

• time-invariant (or translation equivariant): system commutes with translation

$$f(s_k(x)) = s_k(f(x))$$

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- unit impulse  $\delta[n] = \mathbbm{1}[n=0]$
- every signal x expressed as

$$x[n] = \sum_{k} x[k]\delta[n-k] = \sum_{k} x[k]s_k(\delta)[n]$$

• if f is LTI with impulse response  $h = f(\delta)$ , then f(x) = x \* h:

$$\begin{split} f(\boldsymbol{x})[\boldsymbol{n}] &= f\left(\sum_{k} x[k] s_k(\delta)\right)[\boldsymbol{n}] = \sum_{k} x[k] s_k(f(\delta))[\boldsymbol{n}] \\ &= \sum_{k} x[k] h[\boldsymbol{n}-k] := (x+h)[\boldsymbol{n}] \end{split}$$

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$$(x * h)[\mathbf{n}] = \sum_{\mathbf{k}} h[\mathbf{k}]x[\mathbf{n} - \mathbf{k}]$$

h

x \* h

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#### continuous time

- continuous-time signal: x(t),  $t \in \mathbb{R}$
- translation (or shift, or delay):  $s_{\tau}(x)(t) = x(t-\tau)$ ,  $\tau \in \mathbb{R}$
- LTI system definition: same
- Dirac delta "function"  $\delta$ : every signal x expressed as

$$x(t) = \int x(\tau)\delta(t-\tau)\mathrm{d}\tau$$

• convolution: f LTI, impulse response  $h = f(\delta)$  implies

$$f(x)(t) = (x * h)(t) := \int x(\tau)h(t - \tau)d\tau$$

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- time (or space)  $\rightarrow$  frequency

$$X(f) = \int x(t)e^{-j2\pi ft} \mathrm{d}t$$

• frequency  $\rightarrow$  time (or space)

$$x(t) = \int X(f)e^{-j2\pi ft} \mathrm{d}f$$

measurements



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#### mathematical model



• (thin) experimental: inverse Fourier of grating stimuli responses

• (thick) least-squares fit of Gabor elementary signal

Marcelja. JOSA 1980, Mathematical Description of the Responses of Simple Cortical Cells.  $\langle \Box \rangle \langle \overline{\Box} \rangle \langle \overline{$ 

# Gabor elementary signals



"effective duration"

$$\Delta t = [2\pi \overline{(t-\bar{t})^2}]^{1/2}$$

"effective bandwidth"

$$\Delta f = [2\pi \overline{(f-\overline{f})^2}]^{1/2}$$

uncertainty principle

$$\Delta t \Delta f \ge \frac{1}{2}$$

minimal solution

$$\psi(t) = e^{-a^2(t-t_0)^2} e^{j2\pi f_0(t-t_0)}$$

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Gabor. JIEE 1946. Theory of Communication. Part 1: the Analysis of Information.

#### convolution theorem & modulation



### convolution theorem & modulation



### convolution theorem & modulation



# 2d space/frequency considerations



- responses to gratings at different frequencies and orientations
- localized in space and frequency, in both dimensions

DeValois, DeValois and Yund. JP 1979. Responses of Striate Cortex Cells to Grating and Checkerboard Patterns.

# 2d space/frequency considerations



- spatial frequency and orientation are separable
- by inverse Fourier, hypothesize a 2d spatial 'receptive field profile'

Daugman. VR 1980. Two-Dimensional Spectral Analysis of Cortical Receptive Field Profiles.

# 2d Gabor filters



• 2d uncertainty principle

$$\Delta \mathbf{x} \Delta \mathbf{u} \geq \frac{1}{4}$$

minimal solution

$$f(\mathbf{x}) = e^{-\pi w_{\mathbf{x}_0, A}(\mathbf{x})} e^{j2\pi c_{\mathbf{x}_0, \mathbf{u}_0}(\mathbf{x})}$$
$$F(\mathbf{u}) = e^{-\pi w_{\mathbf{u}_0, A^{-1}}(\mathbf{u})} e^{j2\pi c_{\mathbf{u}_0, \mathbf{x}_0}(\mathbf{u})}$$

• envelope & carrier signals

$$w_{\mathbf{x}_0,A}(\mathbf{x}) = (\mathbf{x} - \mathbf{x}_0)^\top A^2 (\mathbf{x} - \mathbf{x}_0)$$
$$c_{\mathbf{x}_0,\mathbf{u}_0}(\mathbf{x}) = \mathbf{u}_0^\top (\mathbf{x} - \mathbf{x}_0)$$
$$A = \operatorname{diag}(a, b)$$

Daugman. JOSA 1985. Uncertainty Relation for Resolution in Space, Spatial Frequency, and Orientation Optimized By Two-Dimensional Visual Cortical Filters.

# Gabor hypothesis verified



- compare spatial data to Gabor fitted to inverse Fourier of frequency data, and vice versa
- error unstructured and indistinguishable from random

Jones and Palmer. JN 1987. An Evaluation of the Two-Dimensional Gabor Filter Model of Simple Receptive Fields in Cat Striate Cortex.
#### texture segmentation



- sample image on spatial uniform cartesian grid
- filter each spatial cell at different frequencies and orientations

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Turner. BC 1986. Texture Discrimination By Gabor Functions.

#### "textons"



- see filter bank as frequency sampling on log-polar grid
- cluster filter (vector) responses into "textons"
- apply to iris recognition

Daugman. ASSP 1988. Complete Discrete 2-D Gabor Transforms By Neural Networks for Image Analysis and Compression.

# visual descriptors

#### texture descriptors

[Manjunath and Ma 1996]



- same frequency sampling scheme
- filtering and global pooling in space domain
- popularized as part of MPEG-7 standard

Manjunath and Ma. PAMI 1996. Texture Features for Browsing and Retrieval of Image Data.

## global descriptors



- sampling scheme adapted to power spectrum statistics
- filtering and global pooling in frequency domain

Oliva, Torralba, Guerin-Dugue, Herault. ICCIR 1999. Global Semantic Classification of Scenes Using Power Spectrum Templates.



frequency

space

- space (x) and frequency (u) rotate together by heta
- scaling envelope (A) and carrier  $(\mathbf{u}_0)$  together
- 4d representation: position, scale, orientation



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#### from images to vectors

- suppose an image  $f(\mathbf{x})$  is represented in frequency by  $|F(\mathbf{u})|^2$
- suppose a template  $h(\mathbf{x})$  (another image or an attribute) is also represented in frequency by

$$|H(\mathbf{u})|^2 = \sum_{n=1}^N h_n |G_n(\mathbf{u})|^2$$

where  $\{G_n\}$  is a Gabor filter bank; let  $\mathbf{h} = [h_1, \dots, h_N]$ now define the vector  $\mathbf{f} = [f_1, \dots, f_N]$  with

$$f_n = \int |F(\mathbf{u})|^2 |G_n(\mathbf{u})|^2 \mathrm{d}\mathbf{u}$$

• and measure the similarity of f,h by the inner product

$$\int |F(\mathbf{u})|^2 |H(\mathbf{u})|^2 \mathrm{d}\mathbf{u} = \sum_{n=1}^N f_n h_n = \langle \mathbf{f}, \mathbf{h} \rangle$$

Torralba and Oliva. ICCV 1999. Semantic Organization of Scenes Using Discriminant Structural Templates.

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#### global vs. local receptive fields



- pool filter responses only locally
- next level in hierarchy can apply different spatial weights

### the gist descriptor



- apply filter bank to entire image in frequency domain
- partition image in 4 × 4 cells
- average pooling of filter responses per cell

## gist pipeline



#### • 3-channel RGB input $\rightarrow$ 1-channel gray-scale

- apply filters at 4 scales × 8 orientations
- average pooling on  $4 \times 4$  cells  $\rightarrow$  descriptor of length 512

## gist pipeline



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Oliva and Torralba. VP 2006. Building the Gist of a Scene: the Role of Global Image Features in Recognition.

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## scale-invariant feature transform

[Lowe 1999]



• detect a sparse set of "stable" features (rectangular patches), equivariant to translation, scale and rotation

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Lowe. ICCV 1999. Object recognition from local scale-invariant features.

#### scale-invariant feature transform



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- for each patch
  - normalize with respect to scale and orientation
  - construct a histogram of gradient orientations

Lowe. ICCV 1999. Object recognition from local scale-invariant features.

### the SIFT descriptor



- votes in 8-bin orientation histograms weighted by magnitude and by Gaussian window on patch
- histograms pooled over  $4 \times 4$  cells, trilinear interpolation
- 128-dimensional descriptor, normalized, clipped at 0.2, normalized

Lowe. ICCV 1999. Object recognition from local scale-invariant features.

## histogram of oriented gradients

[Dalal and Triggs 2005]



- applied to person detection by sliding window and SVM
- classifier learns positive and negative weights on positions and orientations
- switch focus back to dense features for classification

Dalal and Triggs. CVPR 2005. Histograms of Oriented Gradients for Human Detection.

## the HOG descriptor



- applied densely to adjacent cells of  $8\times 8$  pixels
- no scale or orientation normalization; just single-scale
- normalized by overlapping blocks of  $3 \times 3$  cells—redundant

Dalal and Triggs. CVPR 2005. Histograms of Oriented Gradients for Human Detection.  $\langle \Box \rangle \langle \overline{\Box} \rangle \langle \overline{\Box} \rangle \langle \overline{\Xi} \rangle$ 

#### so what is a histogram?

• consider a histogram h over integers  $C = \{0, 1, 2, 3, 4\}$ , computed from the following samples:

C	=	{	0	1	2	3	4	}		
3	$\rightarrow$	(	0	0	0	1	0	)		
2	$\rightarrow$	(	0	0	1	0	0	)		
0	$\rightarrow$	(	1	0	0	0	0	)		
3	$\rightarrow$	(	0	0	0	1	0	)		
2	$\rightarrow$	(	0	0	1	0	0	)		
2	$\rightarrow$	(	0	0	1	0	0	)	+	
h	=	(	1	0	3	2	0	)	/	6

each sample is encoded (*hard-assigned*) into a vector in ℝ<sup>5</sup>; all such vectors are pooled (*averaged*) into one vector h ∈ ℝ<sup>5</sup>

- encoding is always nonlinear and pooling is orderless
- C is a codebook or vocabulary

#### so what is a histogram?

• consider a histogram h over integers  $C = \{0, 1, 2, 3, 4\}$ , computed from the following samples:

C	=	{	0	1	2	3	4	}		
3	$\rightarrow$	(	0	0	0	1	0	)		
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#### • 3-channel patch (image) RGB input ightarrow 1-channel gray-scale

- compute gradient magnitude & orientation
- encode into b = 8 (9) orientation bins
- average pooling on c=4 imes 4 ( $\lfloor w/8 
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- descriptor of length  $c \times b = 128$  (block-normalize  $\rightarrow c \times (3 \times 3) \times b$ )



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# embeddings

#### back to Gabor

• let us use the following edge pattern



- rotate it by all  $\theta \in [0, 2\pi]$
- for each  $\theta$ , filter (take dot product) with a bank of antisymmetric Gabor filters at 5 orientations, single scale
- turns out, the filter bank provides an encoding of  $\theta$  in  $\mathbb{R}^5$ : soft assignment
- then, spatial pooling gives nothing but an orientation histogram

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### nonlinear mappings

• Q: we said convolution is linear; now, once we have a gradient orientation measurement, why do we need a nonlinear function?

Schwartz. BC 1977. Spatial Mapping in the Primate Sensory Projection: Analytic Structure and Relevance to Perception.

### nonlinear mappings

- Q: we said convolution is linear; now, once we have a gradient orientation measurement, why do we need a nonlinear function?
- convolution is linear in the image; but if the image is rotated by  $\theta,$  itself is a nonlinear function of  $\theta$
- what we are doing is, mapping to another space where scaling and rotation of the image behave like translation



Schwartz. BC 1977. Spatial Mapping in the Primate Sensory Projection: Analytic Structure and Relevance to Perception.

### on manifolds

- an image of resolution  $320 \times 200$  is a vector in  $\mathcal{I} = \mathbb{R}^{64,000}$ ; are all such vectors equally likely?
- an object seen at different scales and orientations only spans a 2-dimensional smooth manifold in  ${\cal I}$

and we would like to express scale and orientation as two natural coordinates

 how would we go about expressing perspective transformation? attributes of handwritten characters? poses of a human body? occluded surfaces? species of dogs?

Lee and Verleysen 2007. Nonlinear Dimensionality Reduction.

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## hierarchy

- at each level, nonlinearly encode each local (*e.g.* pixel) representation according to a codebook, followed by pooling
- scale and orientation are just two dimensions; a codebook is just a dense grid
- a 3-scale, 6-orientation filter response is 18-dimensional; a dense grid is not an option

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• learn the codebook from data!

## back to textons

[Daugman 1988]



- see filter bank as frequency sampling on log-polar grid
- cluster  $3 \times 6$  filter (vector) responses into "textons"
- apply to iris recognition

Daugman. ASSP 1988. Complete Discrete 2-D Gabor Transforms By Neural Networks for Image Analysis and Compression.

### textons

[Malik et al. 1999]



### oriented filter bank



image

texture segmentation

- textons (re-)defined as clusters of filter responses
- regions described by texton histograms

### textons



- each pixel mapped to a filter response vector of length  $3 \times 12$
- vectors clustered by k-means into k = 25 "texton" centroids
- each pixel assigned to a texton



### • 3-channel RGB input $\rightarrow$ 1-channel gray-scale

- apply filters at 3 scales  $\times$  12 orientations
- point-wise encoding (hard assignment) on k=25 textons
- stride-1 average pooling on overlapping neighborhoods



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## bag of words (BoW)

[Sivic and Zisserman 2003]



- two types of sparse features detected
- SIFT descriptors extracted from a dataset of video frames

Sivic and Zisserman. ICCV 2003. Video Google: A Text Retrieval Approach to Object Matching in videos.

## bag of words: retrieval

[Sivic and Zisserman 2003]





Harris affine 6k words maximally stable 10k words

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- "visual words" defined as clusters of SIFT descriptors learned from the dataset
- images described by visual word histograms
- matching is reduced to sparse dot product ightarrow fast retrieval

Sivic and Zisserman. ICCV 2003. Video Google: A Text Retrieval Approach to Object Matching in videos.

## bag of words: classification

[Csurka et al. 2004]



features



visual words



- same representation, k = 1000 words, naive bayes or SVM classifier
- features soon to be replaced dense multiscale HOG or SIFT

Csurka, Dance, Fan, Willamowski and Bray. SLCV 2004. Visual Categorization With Bags of Keypoints.



#### • 3-channel RGB input $\rightarrow$ 1-channel gray-scale

- set of  $\sim 1000$  features imes 128-dim SIFT descriptors
- element-wise encoding (hard assignment) on  $k\sim 10^4$  visual words

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# vector of locally aggregated descriptors (VLAD)

[Jégou et al. 2010]



- encoding yields a vector per visual word, rather than a scalar frequency
- this vector is 128-dimensional like SIFT descriptors

### **VLAD** definition

- input vectors:  $X = \{x_1, \ldots, x_n\} \subset \mathbb{R}^d$
- vector quantizer:  $q : \mathbb{R}^d \to C \subset \mathbb{R}^d$ ,  $C = \{c_1, \dots, c_k\}$

$$q(x) = \arg\min_{c \in C} \|x - c\|^2$$

residual vector

$$r(x) = x - q(x)$$

• residual pooling per cell

$$V_c(X) = \sum_{\substack{x \in X \\ q(x)=c}} r(x) = \sum_{\substack{x \in X \\ q(x)=c}} x - q(x)$$

VLAD vector (up to normalization)

$$\mathcal{V}(X) = [V_{c_1}(X), \dots, V_{c_k}(X)]$$

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• input vectors - codebook - residuals - pooling



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#### • 3-channel RGB input $\rightarrow$ 1-channel gray-scale

- set of  $\sim 1000$  features imes 128-dim SIFT descriptors
- element-wise encoding (hard assignment) on  $k\sim 16$  visual words

- encoding now yields a residual vector rather than a scalar vote
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#### probabilistic interpretation

• if p(X|C) is the likelihood of i.i.d observations X under a uniform isotropic Gaussian mixture model with component means C

$$p(X|C) \propto \prod_{x \in X} e^{-\frac{1}{2} ||x - q(x)||^2}$$

• then the VLAD vector is proportional the gradient of  $\ln p(X|C)$  with respect to the model parameters C

 $\mathcal{V}(X) \propto \nabla_C \ln p(X|C) = [\nabla_{c_1} \ln p(X|C), \dots, \nabla_{c_k} \ln p(X|C)]$ 

• if we were to optimize C to fit the data X, then  $\hat{\mathcal{V}}(X)$  would be the direction in which to modify C

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### **Fisher kernel**

• the Fisher kernel generalizes to a non-uniform diagonal Gaussian mixture model

order statistics	parameter	model
0	mixing coefficient $\pi$	BoW
1	means $\mu$	VLAD
2	standard deviations $\sigma$	Fisher

Perronnin and Dance CVPR 2006. Fisher Kernels on Visual Vocabularies for Image Categorization.  $\langle \Box 
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#### [Riesenhuber and Poggio 1999]



- computational model consistent with psychophysical data
- advocates non-linear max pooling

Riesenhuber and Poggio. NN 1999. Hierarchical Models of Object Recognition in Cortex.



#### • 3-channel RGB input $\rightarrow$ 1-channel gray-scale

- apply filters at 16 scales × 4 orientations
- max-pooling over  $8 \times 8$  spatial cells and over 2 scales
- convolutional RBF matching of input patches X to k = 4072prototypes P (4 × 4 patches at 4 orientations) extracted at random during learning: activation  $Y = \exp(-\gamma ||X - P||^2)$
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#### improvements

[Mutch and Lowe 2006]



- image pyramid
- S1 inhibition: non-maxima suppression over orientations
- strided C1 max pooling (50% overlap)
- C1 sparsification: dominant orientations kept

Mutch and Lowe. CVPR 2006. Multiclass Object Recognition With Sparse, Localized features.

#### summary

- neuroscience background, convolution, Gabor filters
- texture analysis, frequency sampling, visual descriptors
- dense vs. sparse features
- gist, SIFT, HOG
- pooling Gabor filter responses as orientation histograms
- feature hierarchy, codebooks, encoding, pooling
- textons, BoW, VLAD, Fisher kernel, HMAX
- hard vs. soft encoding, max vs. sum pooling