# lecture 2: visual representation deep learning for vision 

Yannis Avrithis

Inria Rennes-Bretagne Atlantique

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## logistics

- course website updated: https://sif-dlv.github.io/
- piazza: https://piazza.com/inria.fr/fall2018/dlv
- planning: to be updated gradually
- oral presentations: to be done


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- oral presentations: to be done
- material marked as XXXX * is optional


## outline

introduction
receptive fields
visual descriptors feature hierarchy
introduction


## image retrieval challenges



## image retrieval challenges



- scale
- viewpoint
- occlusion
- background clutter
- lighting
- distinctiveness
- distractors


## image classification challenges



## image classification challenges



- scale
- viewpoint
- occlusion
- background clutter
- lighting
- number of instances
- texture/color
- pose
- deformability
- intra-class variability


## data-driven approach



## data-driven approach



## data-driven approach



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## receptive fields

## topographic mapping: translation equivariance



- as you move along the retina, the corresponding points in the cortex trace a continuous path
- each column represents a two-dimensional array of cells
- a translation in the input causes a translation in the representation


## receptive fields

## [Hubel and Wiesel 1962]



- A: 'on'-center LGN; B: 'off'-center LGN; C, D: simple cortical
- $\times$ : excitatory ('on'), $\triangle$ : inhibitory ('off') responses
- localized responses, orientation selectivity


## linearity



- simple cells perform linear spatial summation over their receptive fields
- spatial response (by oriented bars of varying position)
- frequency response (by oriented gratings of varying frequency)


## linear time-invariant (LTI) systems

- discrete-time signal: $x[n], n \in \mathbb{Z}$
- translation (or shift, or delay): $s_{k}(x)[n]=x[n-k], k \in \mathbb{Z}$
- linear system (or filter): system commutes with linear combination

- time-invariant (or translation equivariant): system commutes with translation

$$
f\left(s_{k}(x)\right)=s_{k}(f(x))
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## linear time-invariant (LTI) systems

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$$
f\left(\sum_{i} a_{i} x_{i}\right)=\sum_{i} a_{i} f\left(x_{i}\right)
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- time-invariant (or translation equivariant): system commutes with translation

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f\left(s_{k}(x)\right)=s_{k}(f(x))
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## convolution

- unit impulse $\delta[n]=\mathbb{1}[n=0]$
- every signal $x$ expressed as

$$
x[n]=\sum_{k} x[k] \delta[n-k]=\sum_{k} x[k] s_{k}(\delta)[n]
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- if $f$ is LTI with impulse response $h=f(\delta)$,


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$$
f(x)[n]=f\left(\frac{1}{\sum_{k} x[k] s_{k}(\delta)}[n]=\sum_{k} x[k] s_{k}(f(\delta))[n]\right.
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- Q: what is $\delta * h$ for any $h$ ? what is $s_{k}(\delta) * h$ ?


## convolution





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## 2d convolution



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## continuous time

- continuous-time signal: $x(t), t \in \mathbb{R}$
- translation (or shift, or delay): $s_{\tau}(x)(t)=x(t-\tau), \tau \in \mathbb{R}$
- LTI system definition: same
- Dirac delta "function" $\delta$ : every signal $x$ expressed as

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x(t)=\int x(\tau) \delta(t-\tau) \mathrm{d} \tau
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- convolution: $f$ LTI, impulse response $h=f(\delta)$ implies

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## Fourier transform

- time (or space) $\rightarrow$ frequency

$$
X(f)=\int x(t) e^{-j 2 \pi f t} \mathrm{~d} t
$$

- frequency $\rightarrow$ time (or space)

$$
x(t)=\int X(f) e^{j 2 \pi f t} \mathrm{~d} f
$$

## - measurements



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- measurements

bar (+)

bar ( - )

grating


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## mathematical model


symmetric

antisymmetric

$$
e^{-a^{2}\left(x-x_{0}\right)^{2}} \cos \left(2 \pi f_{0}\left(x-x_{0}\right)\right) \quad e^{-a^{2}\left(x-x_{0}\right)^{2}} \sin \left(2 \pi f_{0}\left(x-x_{0}\right)\right)
$$

- (thin) experimental: inverse Fourier of grating stimuli responses
- (thick) least-squares fit of Gabor elementary signal


## Gabor elementary signals



- "effective duration"

$$
\Delta t=\left[2 \pi \overline{(t-\bar{t})^{2}}\right]^{1 / 2}
$$

- "effective bandwidth"

$$
\Delta f=\left[2 \pi \overline{(f-\bar{f})^{2}}\right]^{1 / 2}
$$

- uncertainty principle

$$
\Delta t \Delta f \geq \frac{1}{2}
$$

- minimal solution

$$
\psi(t)=e^{-a^{2}\left(t-t_{0}\right)^{2}} e^{j 2 \pi f_{0}\left(t-t_{0}\right)}
$$

## convolution theorem \& modulation



## convolution theorem \& modulation



## convolution theorem \& modulation



## 2d space/frequency considerations



- responses to gratings at different frequencies and orientations
- localized in space and frequency, in both dimensions


## 2d space/frequency considerations

(a) Excitability profile

(b) 2-D Fourier transform of profile


- spatial frequency and orientation are separable
- by inverse Fourier, hypothesize a 2 d spatial 'receptive field profile'


## 2d Gabor filters



- 2d uncertainty principle

$$
\Delta \mathrm{x} \Delta \mathbf{u} \geq \frac{1}{4}
$$

- minimal solution

$$
\begin{aligned}
f(\mathbf{x}) & =e^{-\pi w_{\mathbf{x}_{0}, A}(\mathbf{x})} e^{j 2 \pi c_{\mathbf{x}_{0}, \mathbf{u}_{0}}(\mathbf{x})} \\
F(\mathbf{u}) & =e^{-\pi w_{\mathbf{u}_{0}, A^{-1}}(\mathbf{u})} e^{j 2 \pi c_{\mathbf{u}_{0}, \mathbf{x}_{0}}(\mathbf{u})}
\end{aligned}
$$

- envelope \& carrier signals

$$
\begin{aligned}
w_{\mathbf{x}_{0}, A}(\mathbf{x}) & =\left(\mathbf{x}-\mathbf{x}_{0}\right)^{\top} A^{2}\left(\mathbf{x}-\mathbf{x}_{0}\right) \\
c_{\mathbf{x}_{0}, \mathbf{u}_{0}}(\mathbf{x}) & =\mathbf{u}_{0}^{\top}\left(\mathbf{x}-\mathbf{x}_{0}\right) \\
A & =\operatorname{diag}(a, b)
\end{aligned}
$$

## Gabor hypothesis verified

Space Domain


## Frequency Domain



- compare spatial data to Gabor fitted to inverse Fourier of frequency data, and vice versa
- error unstructured and indistinguishable from random


## texture segmentation



- sample image on spatial uniform cartesian grid
- filter each spatial cell at different frequencies and orientations


## "textons"



- see filter bank as frequency sampling on log-polar grid
- cluster filter (vector) responses into "textons"
- apply to iris recognition


## visual descriptors

## texture descriptors

[Manjunath and Ma 1996]


- same frequency sampling scheme
- filtering and global pooling in space domain
- popularized as part of MPEG-7 standard


## global descriptors



- sampling scheme adapted to power spectrum statistics
- filtering and global pooling in frequency domain


## sampling the frequency plane


space

- space ( $\mathbf{x}$ ) and frequency ( $\mathbf{u}$ ) rotate together by $\theta$
scaling envelope $(A)$ and carrier ( $\mathrm{u}_{0}$ ) together
4d representation: position, scale, orientation


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## from images to vectors

- suppose an image $f(\mathbf{x})$ is represented in frequency by $|F(\mathbf{u})|^{2}$
- suppose a template $h(\mathbf{x})$ (another image or an attribute) is also represented in frequency by

$$
|H(\mathbf{u})|^{2}=\sum_{n=1}^{N} h_{n}\left|G_{n}(\mathbf{u})\right|^{2}
$$

where $\left\{G_{n}\right\}$ is a Gabor filter bank; let $\mathbf{h}=\left[h_{1}, \ldots, h_{N}\right]$
now define the vector $\mathrm{f}=\left[f_{1}, \ldots, f_{N}\right]$ with

$$
f_{n}=\int|F(\mathbf{u})|^{2}\left|G_{n}(\mathbf{u})\right|^{2} \mathrm{~d} \mathbf{u}
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and measure the similarity of $f, h$ by the inner product


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$$
\int|F(\mathbf{u})|^{2}|H(\mathbf{u})|^{2} \mathrm{~d} \mathbf{u}=\sum_{n=1}^{N} f_{n} h_{n}=\langle\mathbf{f}, \mathbf{h}\rangle
$$

## global vs. local receptive fields



- pool filter responses only locally
- next level in hierarchy can apply different spatial weights


## the gist descriptor



- apply filter bank to entire image in frequency domain
- partition image in $4 \times 4$ cells
- average pooling of filter responses per cell


## gist pipeline



- 3-channel RGB input $\rightarrow$ 1-channel gray-scale
- apply filters at 4 scales $\times 8$ orientations
- average pooling on $4 \times 4$ cells $\rightarrow$ descriptor of length 512


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## scale-invariant feature transform

[Lowe 1999]


- detect a sparse set of "stable" features (rectangular patches), equivariant to translation, scale and rotation


## scale-invariant feature transform



- for each patch
- normalize with respect to scale and orientation
- construct a histogram of gradient orientations


## the SIFT descriptor




Keypoint descriptor

- votes in 8-bin orientation histograms weighted by magnitude and by Gaussian window on patch
- histograms pooled over $4 \times 4$ cells, trilinear interpolation
- 128-dimensional descriptor, normalized, clipped at 0.2 , normalized


## histogram of oriented gradients

[Dalal and Triggs 2005]


- applied to person detection by sliding window and SVM
- classifier learns positive and negative weights on positions and orientations
- shifts focus back to dense features for classification


## the HOG descriptor



- applied densely to adjacent cells of $8 \times 8$ pixels
- no scale or orientation normalization; just single-scale
- normalized by overlapping blocks of $3 \times 3$ cells—redundant


## so what is a histogram?

- consider a histogram $h$ over integers $C=\{0,1,2,3,4\}$, computed from the following samples:

| C | $=$ |  | 0 | 1 | 2 | 3 | 4 |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 3 | $\rightarrow$ |  | 0 | 0 | 0 | 1 | 0 |  |  |  |
| 2 | $\rightarrow$ | ( | 0 | 0 | 1 | 0 | 0 |  |  |  |
| 0 | $\rightarrow$ |  | 1 | 0 | 0 | 0 | 0 |  |  |  |
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| 2 | $\rightarrow$ | ( | 0 | 0 | 1 | 0 | 0 |  | + |  |
| $h$ | $=$ |  | 1 | 0 | 3 | 2 | 0 |  | / | 6 |

- each sample is encoded (hard-assigned) into a vector in $\mathbb{R}^{5}$; all such vectors are pooled (averaged) into one vector $h \in \mathbb{R}^{5}$
- encoding is always nonlinear and pooling is orderless


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- each sample is encoded (hard-assigned) into a vector in $\mathbb{R}^{5}$; all such vectors are pooled (averaged) into one vector $h \in \mathbb{R}^{5}$
- encoding is always nonlinear and pooling is orderless
- $C$ is a codebook or vocabulary


## SIFT (HOG) pipeline



- 3-channel patch (image) RGB input $\rightarrow$ 1-channel gray-scale
- compute gradient magnitude \& orientation
- encode into $b=8$ (9) orientation bins
- average pooling on $c=4 \times 4(|w / 8| \times|h / 8|)$ cells
- descriptor of length $c \times b=128$ (block-normalize $\rightarrow c \times(3 \times 3) \times b)$


## SIFT (HOG) pipeline



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- descriptor of length $c \times b=128$


## SIFT (HOG) pipeline



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- compute gradient magnitude \& orientation
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- descriptor of length $c \times b=128$ (block-normalize $\rightarrow c \times(3 \times 3) \times b$ )


## feature hierarchy

## back to Gabor

- let us use the following edge pattern

- rotate it by all $\theta \in[0,2 \pi]$
- for each $\theta$, filter (take dot product) with a bank of antisymmetric Gabor filters at 5 orientations, single scale
- turns out, the filter bank provides an encoding of $\theta$ in $\mathbb{R}^{5}$ : soft assignment
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## nonlinear mappings

- Q: we said convolution is linear; now, once we have a gradient orientation measurement, why do we need a nonlinear function?
- convolution is linear in the image; but if the image is rotated by $\theta$, itself is a nonlinear function of $\theta$
- what we are doing is, mapping to another space where scaling and rotation of the image behave like translation


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## on manifolds

- an image of resolution $320 \times 200$ is a vector in $\mathcal{I}=\mathbb{R}^{64,000}$; are all such vectors equally likely?
- an object seen at different scales and orientations only spans a 2-dimensional smooth manifold in $\mathcal{I}$
and we would like to express scale and orientation as two natural coordinates
- how would we go about expressing perspective transformation? attributes of handwritten characters? poses of a human body? occluded surfaces? species of dogs?


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## feature hierarchy

- at each level, nonlinearly encode each local (e.g. pixel) representation according to a codebook, followed by pooling
- scale and orientation are just two dimensions; a codebook is just a dense grid
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## back to textons

[Daugman 1988]


- see filter bank as frequency sampling on log-polar grid
- cluster $3 \times 6$ filter (vector) responses into "textons"
- apply to iris recognition


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## textons

## [Malik et al. 1999]


oriented filter bank

texture segmentation

- textons (re-)defined as clusters of filter responses
- regions described by texton histograms


## textons



- each pixel mapped to a filter response vector of length $3 \times 12$
- vectors clustered by $k$-means into $k=25$ "texton" centroids
- each pixel assigned to a texton
- each texton has a "channel" of pixel assignments

Malik, Belongie, Shi and Leung. ICCV 1999. Textons, Contours and Regions: Cue Integration in Image Segmentation.

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## texton pipeline



- 3-channel RGB input $\rightarrow$ 1-channel gray-scale
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## bag of words (BoW)

[Sivic and Zisserman 2003]


- two types of sparse features detected
- SIFT descriptors extracted from a dataset of video frames


## bag of words: retrieval

[Sivic and Zisserman 2003]


Harris affine 6k words

maximally stable 10k words

- "visual words" defined as clusters of SIFT descriptors learned from the dataset
- images described by visual word histograms
- matching is reduced to sparse dot product $\rightarrow$ fast retrieval


## bag of words: classification

[Csurka et al. 2004]

features

visual words


- same representation, $k=1000$ words, naive Bayes or SVM classifier
- features soon to be replaced dense multiscale HOG or SIFT


## bag of words pipeline



- 3-channel RGB input $\rightarrow$ 1-channel gray-scale
- set of $\sim 1000$ features $\times 128$-dim SIFT descriptors
- element-wise encoding (hard assignment) on $k \sim 10^{4}$ visual words
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## vector of locally aggregated descriptors (VLAD)*

[Jégou et al. 2010]


- encoding yields a vector per visual word, rather than a scalar frequency
- this vector is 128 -dimensional like SIFT descriptors


## VLAD definition*

- input vectors: $X=\left\{x_{1}, \ldots, x_{n}\right\} \subset \mathbb{R}^{d}$
- vector quantizer: $q: \mathbb{R}^{d} \rightarrow C \subset \mathbb{R}^{d}, C=\left\{c_{1}, \ldots, c_{k}\right\}$

$$
q(x)=\arg \min _{c \in C}\|x-c\|^{2}
$$

- residual vector

$$
r(x)=x-q(x)
$$

- residual pooling per cell

- VLAD vector (up to normalization)



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- residual pooling per cell

$$
V_{c}(X)=\sum_{\substack{x \in X \\ q(x)=c}} r(x)=\sum_{\substack{x \in X \\ q(x)=c}} x-q(x)
$$

- VLAD vector (up to normalization)

$$
\mathcal{V}(X)=\left(V_{c_{1}}(X), \ldots, V_{c_{k}}(X)\right)
$$

## VLAD geometry*



- input vectors - codebook - residuals - pooling


## VLAD geometry*



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## VLAD pipeline*



- 3-channel RGB input $\rightarrow$ 1-channel gray-scale
- set of $\sim 1000$ features $\times 128$-dim SIFT descriptors
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## probabilistic interpretation*

- if $p(X \mid C)$ is the likelihood of i.i.d observations $X$ under a uniform isotropic Gaussian mixture model with component means $C$

$$
p(X \mid C) \propto \prod_{x \in X} e^{-\frac{1}{2}\|x-q(x)\|^{2}}
$$

- then the VLAD vector is proportional the gradient of $\ln p(X \mid C)$ with respect to the model parameters $C$

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## Fisher kernel*

- the Fisher kernel generalizes to a non-uniform diagonal Gaussian mixture model

| order statistics | parameter | model |
| :---: | :---: | :---: |
| 0 | mixing coefficient $\pi$ | BoW |
| 1 | means $\mu$ | VLAD |
| 2 | standard deviations $\sigma$ | Fisher |

## embeddings in general*



$$
\phi\left(x_{n}\right)
$$

aggregating (nooling)
dimension
reduction

$\sum_{i} \phi\left(x_{i}\right)$

## embeddings in general*


$\xrightarrow[\square \square \square \square \square \square \square \square \square \square \square \square]{ } \begin{aligned} & \square \square \\ & \begin{array}{l}\text { aggregating } \\ \text { (pooling) }\end{array}\end{aligned}$

## dimension

reduction

## embeddings in general*



## dimension

reduction


## embeddings in general*


embedding
(coding)

aggregating
(pooling)
dimension
reduction
$\Phi(X)$

$\sum_{i} \phi\left(x_{i}\right)$

## HMAX*

[Riesenhuber and Poggio 1999]


- computational model consistent with psychophysical data
- advocates non-linear max pooling


## (simplified) HMAX pipeline*



- 3-channel RGB input $\rightarrow$ 1-channel gray-scale
- S1 apply filters at 16 scales $\times 4$ orientations
- C1 max-pooling over $8 \times 8$ spatial cells and over 2 scales
- S2 convolutional RBF matching of input patches $X$ to $k=4.072$ prototypes $P_{i}\left(n_{i} \times n_{i}\right.$ patches at 4 orientations) extracted at random during learning: activations $Y_{i}=\exp \left(-\gamma\left\|X-P_{i}\right\|^{2}\right)$
- C2 global max pooling over positions and scales


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## HMAX improvements*

[Mutch and Lowe 2006]


- image pyramid
- S1 inhibition: non-maxima suppression over orientations
- strided C1 max pooling (50\% overlap)
- C1 sparsification: dominant orientations kept


## summary

- neuroscience background, convolution, Gabor filters
- texture analysis, frequency sampling, visual descriptors
- dense vs. sparse features
- gist, SIFT, HOG
- pooling Gabor filter responses as orientation histograms
- feature hierarchy, codebooks, encoding, pooling
- textons, BoW, VLAD*, Fisher kernel*, HMAX*
- hard vs. soft encoding, max vs. sum pooling


[^0]:    - 4d representation: position, scale, orientation

